

The rollout of the National Disability Insurance Scheme in NSW

Provider information sessions October-November 2016

This session will cover the following topics



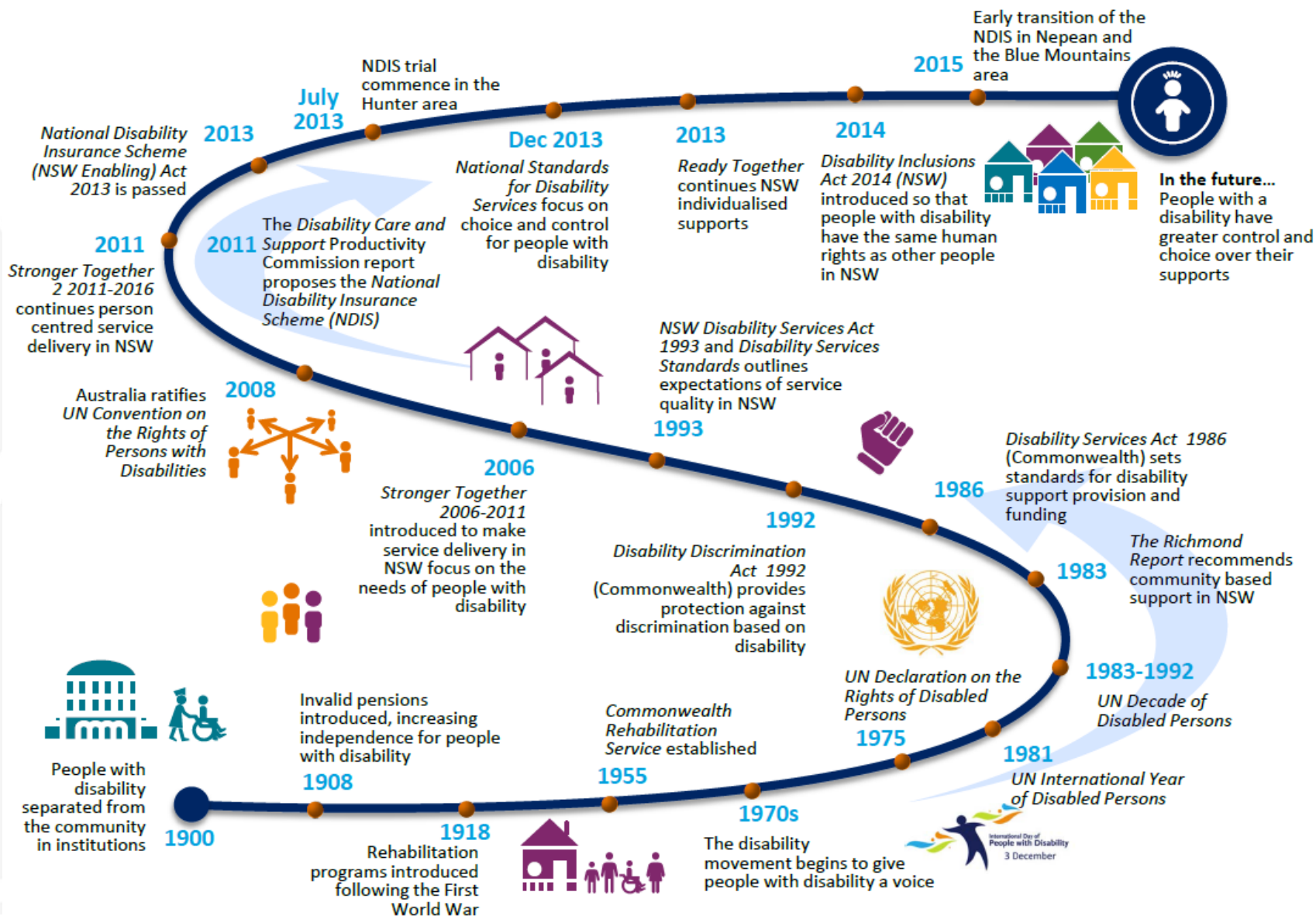
How the NDIS will roll out in NSW

Becoming a ready organisation

Funding variations

Quality and Safeguards

The road to the NDIS



Example of planned client cohorts for year 1 transition

Cohort	Service Group	MDS Service Type	Examples of services	Timeline
1	Large Residential Centres	1.01	LRC residents	100% of clients transition in first quarter
	Group Home	1.02, 1.04	Group home and small residential centre residents	
	Community High	1.03, 1.05-1.08	Hostels, attendant care, in-home support, alternative family placement	
2	Community Access	3.01-3.03	Learning & life skills, recreation/holiday programs, other community access	75% of clients transition over first 6 months
	Community Support	2.01-2.07	Therapy, early intervention, behaviour intervention, case management	25% of clients transition over second 6 months
3	Respite	4.01-4.05	Centre-based respite, flexible respite	25% of clients transition over first 6 months
	Community Care	10.01-10.26 (exc. 10.23)	Domestic assistance, personal care, meals service, home modifications, transport, case management (excludes assessment)	75% of clients transition over second 6 months

Meets NDIS disability requirement

Evidence of disability required

Public Interest Directions from the NSW Privacy Commission allow ADHC to collect identified information from funded providers (published 26 October 2015).

Data collection:

- Identifies clients in NSW Defined Programs that automatically meet the disability requirements for access to the Scheme.
- Supports the NDIA to make contact with existing NSW disability clients to determine their eligibility for the Scheme.

Data collection with year two providers is currently underway, and we will be requesting data again in May 2017.

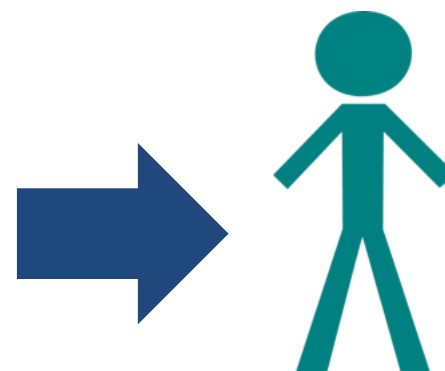
More information about these processes is available via the service portal, dedicated NGO helpdesk, hotline and mail box to assist service providers.

- FACS is collating important client information for our existing clients to assist them in their planning conversation.
- Providers can also support clients to get ready for their planning conversation by helping to compile relevant information and documentation.
- More information will be made available via the service portal.

Documents to include in a client's dossier:

- Assessments
- Plans
- Information on existing supports that identify needs, goals, aspirations

Most recent version of each document (within last 12 months if possible)



Information given to client to take to planning meeting

Client Transition Process

FACS collects client data from providers and provides it to the NDIA under Privacy Direction.

Initiate conversation with clients

NDIA confirms eligibility requirements are met and contacts client.

Discussion with clients regarding current and future services

Client meets with Local Area Coordinator to start planning process.

Provide ongoing support to clients

Plan is finalised and approved by NDIA.

Keep track of when clients enter the NDIS

Client is notified and plan implementation can commence.

Continuity of support

Existing clients who do not meet the access criteria for the NDIS will receive continuity of support so that they will not be disadvantaged.

Over 65 years old

ATSI over 50 years old

Do not meet access criteria due to age

- People who are existing clients of NSW funded programs AND are age 65 or over will receive continuity of support delivered by the Commonwealth.
- People who become NDIS participants before they turn 65 can choose to remain NDIS participants.

Under 65 years old

ATSI under 50 years old

Do not meet the disability requirements

(some CCSP clients)

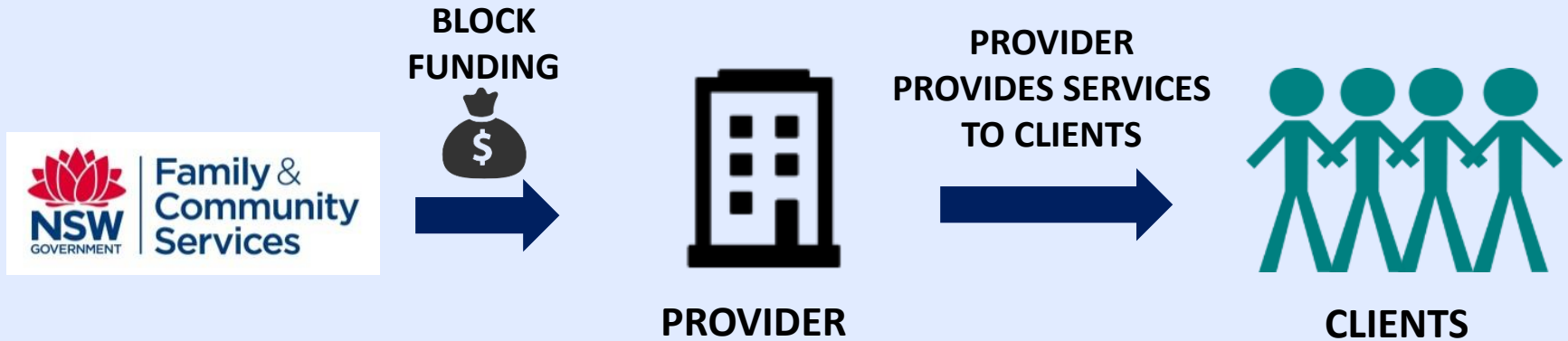
- Existing clients who are under 65 but not eligible for the NDIS will receive continuity of support to maintain the same outcomes they received before the NDIS.

The Continuity of Support Program will support:

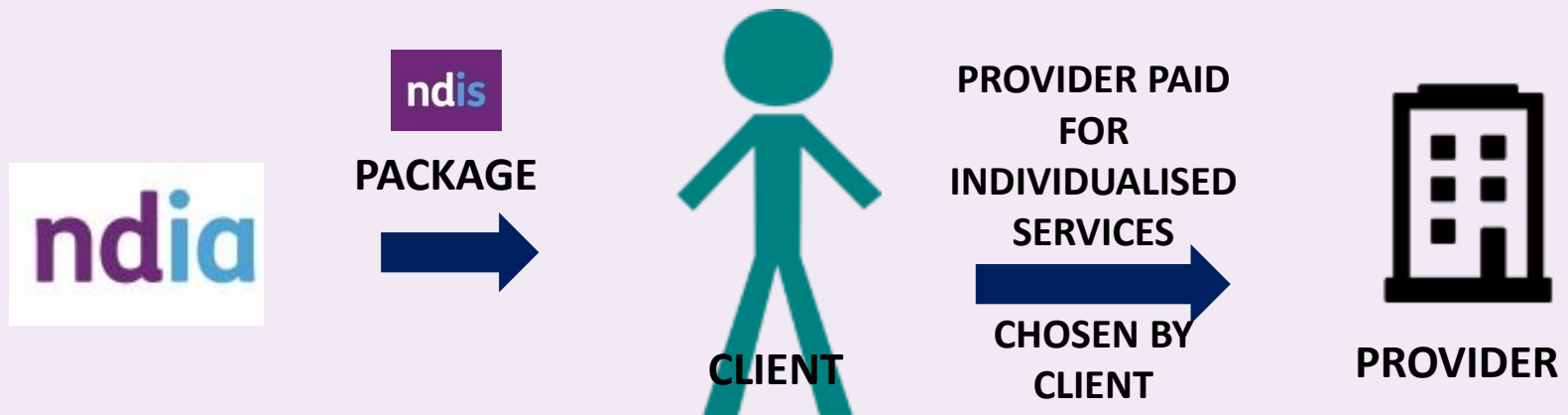
- Older people with disability who are aged 65 years and over currently accessing state-administered specialist disability services who do not meet NDIS age access requirements
- Aboriginal and Torres Strait Islander people with disability aged 50 years and over currently accessing State-administered specialist disability services who do not meet other (non-aged related) access requirements of the NDIS
- You can visit www.health.gov.au and search for 'Continuity of Support' to find regularly updated information.
- If you want more information you can email CommonwealthCoS@health.gov.au

Difference in Payments

PRE - TRANSITION



POST - TRANSITION



Transitional ECEI Approach

- The NSW Government, the NDIA and the Commonwealth Government have jointly agreed to a transitional specialist **Early Childhood Early Intervention (ECEI)** approach for supporting children 0-6 with developmental delay or disability during the transition to the NDIS (until June 2018).
- This approach will ensure existing providers continue to support children and young people in NSW during this period.
- Funding is being varied for individual providers to reflect this ongoing role.



10 Characteristics of a Ready Organisation

1 COMMUNICATION WITH CLIENTS

- Plan & conduct conversations with current clients in preparation for the transition to the NDIS
- Identify & address client needs for the transition



2 DEVELOP A STRATEGIC PLAN

- Incorporates NDIS transition activity & informs business planning / operations



4 STRATEGY TO MONITOR CASH FLOW LEVELS

- Track all cash flow levels



3 UNIT COSTING & PROFITABILITY SYSTEM IN PLACE

- To determine costing of all activities



5 DESIGN A MARKETING STRATEGY

- Customised website to promote services in the new NDIS world



10 Characteristics of a Ready Organisation

6

GOVERNANCE ARRANGEMENTS FOR NDIS TRANSITION

- Board with relevant professional backgrounds



7

DEVELOP A RISK MANAGEMENT FRAMEWORK

- Incorporates risk identification & solutions at all stages of transition



8

WORKFORCE PLANNING DRIVEN BY NDIS NEEDS

- Planning & development to improve recruitment / retention of staff
- Flexible staffing to manage fluctuations due to transition



9

DEVELOP FEEDBACK & COMPLAINTS PROCESS

- Consult with clients at all stages of the transition



QUALITY MANAGEMENT SYSTEM IN PLACE

- Service delivery / workforce performance management



10

DEVELOP A REPORTING TOOL

- Measure & monitor key performance indicators effectively



- The NDIA's Market Position Statement (<https://ndis.gov.au/document/nsw-market-position-statement>) is a valuable tool for your organisation's planning.
- It includes data on the demand for disability services broken down by local health districts, by participant characteristics, and by support categories.
- It also covers changes in supply, and predictions for future growth in participants, funding and jobs.

- NDS Sector Support Consultants
- NDS Quality Assessment Workbook
- ADHC Unit Costing Toolkit
- Career Planner and Capability Framework
- Social Impact Measurement Tool

IDF Resources available at www.idfnsw.org.au

Funding Variation Timeline



Funding variation principles

- Variations are made to block funding proportionately, based on the number of people the NDIA advise have transitioned each month per service.
- People with an individualised funding package or a Direct Payment Agreement have their funding varied from the date they have transitioned.
- FACS expects funding to transition in line with bilateral client cohorts. Majority of accommodation services will transition early in the year, while the majority of Respite and Community Care services will transition later.
- Providers must manage changes to their cash flows as FACS block is reduced. FACS makes payments monthly in advance while the NDIA makes payments in arrears.
- Providers are allowed to transfer funds between services and cohorts to help manage cash flow changes.
- Providers must maintain service outcomes and quality standards as per the Funding Agreement.

Funding variation process

- For services in year 2 transition districts, payments will be changed from quarterly in advance to monthly in advance.
- Some providers that have statewide services have already had all of their payments converted to monthly as part of year 1 transition.
- All providers will be paid in full for the month of July 2017 for the services they provide in year 2 districts.
- Funding is currently being varied each month based on how many people have transitioned in the previous month.
- The final payment will be made in June 2018 for year 2 services, as all year 2 clients are expected to have transitioned by 30 June 2018.

- The acquittal process has been simplified to make the transition process easier. Providers have only been asked to complete one document, a profit and loss statement and balance sheet.
- Providers can submit a consolidated business case to request to retain their surplus funds. Once approved, retained surplus funds can be used across all services and cohorts and to manage cash flow changes during the transition.
- Once the planned funding variation process commences, monitoring of actual versus planned funding variations will take into account any surplus funds from the acquittal process.
- Should there be any surplus funds that are not approved for retention or cannot be expended on service delivery or on the NDIS transition process, then the surplus should be returned to FACS.

NSW Transitional Quality Assurance and Safeguards Working Arrangements



- Compliance with the NSW Transitional Working Arrangements is a condition of a service provider's registration to provide NDIS supports in NSW.
- New South Wales Transitional Quality Assurance and Safeguards Working Arrangements can be found online at:
<https://myplace.ndis.gov.au/ndisstorefront/providers/nsw-registering-provider.html>
- Providers operating under an existing funding arrangement with ADHC or the Commonwealth Government will be automatically recognised by the NDIA as complying with the [Quality and Safeguards Transitional Working Arrangements](#) for the same disability supports as they are currently funded to provide.

NSW Transitional Quality Assurance and Safeguards Working Arrangements

- Existing funded providers that want to provide “specialist disability” supports outside what is specified in their NSW Funding Agreement will need to apply to the NDIA separately for registration to provide the additional types of support.
- The [Guide to Suitability](#) provides guidance to providers about the professional qualifications, experience and expertise needed to be registered to provide supports under the NDIS.

TPV Exemption – Allied Health

- Sole practitioners and small consortia of Allied Health Professionals may be exempted from the requirement to obtain Third Party Verification (TPV) when registering for ‘Early Intervention supports for early childhood’ (‘Early Childhood Supports’ in myplace).
- To be eligible for the exemption, Allied Health professionals must:
 - hold a relevant allied health qualification to practise in NSW;
 - a minimum of 2 years practice experience in the provision of therapeutic support to children aged 0-6 years; and
 - hold a relevant professional registration or professional association membership required to practice in NSW.

Visit www.ndis.nsw.gov.au to find out more about the rollout of the NDIS across NSW.

Providers can also contact the ADHC funding administration helpdesk about their funding variations:

1300 136 067

funding.administration@facs.nsw.gov.au